

## Audit & Governance Committee Draft Forward Plan to December 2020

Training/briefing events will be held at appropriate points in the year to support members in their role on the Committee.

Item	Lead officers	Other contributing Organisations	Scope
<b>Additional Meeting – 11<sup>th</sup> March 2020</b>			
Review of the Constitution	<u>CYC</u> Suzanne Harrington		Additional meeting held to facilitate the review of the Constitution
<b>Committee 8<sup>th</sup> April 2020</b>			
Key Corporate Risks Monitor 4	<u>CYC</u> Sarah Kirby		Update on Key Corporate Risks (KCRs) including: KCR 9 - Communities
Mazars Audit Progress Report	<u>Mazars</u> – Mark Kirkham, Mark Dalton		To present a report summarising the outcome of the 2018/19 audit and work on the value for money conclusion.
Internal Audit Follow up of Audit Recommendations Report	<u>Veritau</u> – <u>Max Thomas/</u> <u>Richard Smith</u>		This is the regular six monthly report to the committee setting out progress made by council departments in implementing actions agreed as part of internal audit work
Internal Audit & Fraud Plan Progress Report	<u>Veritau</u> – <u>Max Thomas/</u> <u>Richard Smith</u>		An update on progress made in delivering the internal audit work plan for 2019/20 and on current counter fraud activity
Approval of Internal Audit	<u>Veritau</u> –		

Plan	<u>Max Thomas/</u> <u>Richard Smith</u>		
Information Governance & Complaints	<u>CYC</u> Lorraine Lunt		To provide Members with an update on current information governance issues.
<b>Committee June 2020</b>			
Draft Statement of Accounts incl. Annual Governance Statement	<u>CYC</u> Emma Audrain/ Debbie Mitchell		To present the draft Statement of Accounts to the Committee prior to the 2018/19 Audit including the Annual Governance Statement
Annual Report of the Audit & Governance Committee	<u>CYC</u> Emma Audrain/ Debbie Mitchell		To seek Members' views on the draft annual report of the Audit and Governance Committee for the year ended March 2020, prior to its submission to Full Council.
Treasury Management Outturn Report	<u>CYC</u> Emma Audrain/ Debbie Mitchell		To provide Members with an update on the Treasury Management Outturn position for 2019/20.
Key Corporate Risks Monitor 1	<u>CYC</u> Sarah Kirby		Update on Key Corporate Risks (KCRs) including: KCR 10 – Workforce/ Capacity
Mazars Audit Progress Report	<u>Mazars – Mark Kirkham, Mark Dalton</u>		Update report from external auditors detailing progress in delivering their responsibilities as the Council's external auditors
Annual Report of the Head of Internal Audit	<u>Veritau –</u> <u>Max Thomas/</u> <u>Richard Smith</u>		This report will summarise the outcome of audit and counter fraud work undertaken in 2018/19 and provide an opinion on the overall adequacy and effectiveness of the council's framework of governance, risk management and internal control
<b>Committee July 2020</b>			
Mazars Audit Completion Report	<u>Mazars – Mark Kirkham</u>		Report from the Councils external auditors setting out the findings of the 2019/20 Audit.
Final Statement of	<u>CYC</u>		To present the final audited Statement of Accounts

Accounts	Emma Audrain/ Debbie Mitchell		following the 2019/20 Audit.
Information Governance & Complaints	<u>CYC</u> Lorraine Lunt		To provide Members with an update on current information governance issues.
<b>Committee Sept 2020</b>			
Mazars Annual Audit Letter	<u>Mazars</u> – Mark Kirkham		Report from the Councils external auditors setting out the findings of the 2019/20 Audit.
Key Corporate Risks monitor 2	<u>CYC</u> Sarah Kirby		Update on Key Corporate Risks (KCRs) including: KCR 11 - External Market Conditions
Internal Audit Follow up of Audit Recommendations Report	<u>Veritau</u> – <u>Max Thomas/</u> <u>Richard Smith</u>		This is the regular six monthly report to the committee setting out progress made by council departments in implementing actions agreed as part of internal audit work
Internal Audit & Fraud Plan & Progress report	<u>Veritau</u> – <u>Max Thomas/</u> <u>Richard Smith</u>		An update on progress made in delivering the internal audit work plan for 2019/20 and on current counter fraud activity
Information Governance & Complaints	<u>CYC</u> Lorraine Lunt		To provide Members with an update on current information governance issues.
<b>Committee Dec 2020</b>			
Key Corporate Risks monitor 3	<u>CYC</u> Sarah Kirby		Update on Key Corporate Risks (KCRs) including: KCR 12 – Major Incidents
Mazars Audit Progress	<u>Mazars</u> – Mark Kirkham		Update report from external auditors detailing progress in delivering their responsibilities as the Council's external auditors
Treasury Management Mid year review 20/21 and review of prudential indicators	<u>CYC</u> Debbie Mitchell		To provide an update on treasury management activity for the first six months of 2020/21
Internal Audit & Fraud	<u>Veritau</u> –		An update on progress made in delivering the internal

progress report	<u>Max Thomas/</u> <u>Richard Smith</u>		audit work plan for 2020/21 and on current counter fraud activity
Information Governance & Complaints	<u>CYC</u> Lorraine Lunt		To provide Members with an update on current information governance issues.
<b>Other Items to be brought to the Committee - date</b>			